## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE.

Applicants: Nicholas D. Spencer, Sara Maria Morgenthaler, and Seunghwan Lee

Serial No.: 10/814,995 Art Unit: 1641

Filed: March 31, 2004 Examiner: Nelson C. Yang

For: CONTROLLED SURFACE CHEMICAL GRADIENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97,

Applicants submit a Supplemental Information Disclosure Statement, including one (1) page of

Form PTO-1449 and a copy of the one (1) document cited therein.

The reference cited below is a foreign language reference which was cited by the Japanese Patent Office in the corresponding Japanese Patent Application, Japanese Patent Application No. 2006-532361. An English abstract is included, which Applicants believe satisfies the requirement for a concise explanation of the relevance of the foreign language documents under 37 C.F.R. § 1.98(a)(3).

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c) after a first Office Action on merits. The Commissioner is authorized to charge \$180.00, the fee set forth under 37 C.F.R. § 1.17(p), to Account No. 50-3129. It is believed that no

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SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT

additional fee is required with this submission. However, should a fee be required, the

Commissioner is hereby authorized to charge any required fees to Deposit Account No. 50-3129.

Foreign Document

Number Publication Date

01-13-1998

Patentee Asahi Optical Co. Ltd. Country JP

10-005673 (w/ english abst.)

Remarks

Japanese Application No. 10-005683 to Asahi Optical Co. Ltd ("Asahi") discloses a

method for forming a bulk gradient. Asahi indicates that a compound that penetrates "into the

plastic lens and having affinity for the dye is used". A bulk gradient is formed within the

material, not on the surface of the material.

In contrast, the claims of the present application define surface-chemical gradients, and

methods of making and using surface-chemical gradients. Surface chemical gradients are

gradual changes in the chemistry of a surface, and do not modify the properties of the bulk

material forming the substrate.

Additionally, Asahi indicates a dying aid to attach the dye is required, while such an aid

is absent from the claimed methods and substrates. In contrast, claim 1 specifies that the solution

contains a first adsobate, which adsorbs (but does not penetrate) onto the surface.

Finally, Asahi does not disclose forming radially symmetric gradients, as required by

claims 13-18.

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SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT

This statement should not be interpreted as a representation that an exhaustive search has

been conducted or that no better art exists. Moreover, Applicants invite the Examiner to make an

independent evaluation of the cited art to determine its relevance to the subject matter of the

present application. Applicants are of the opinion that their claims patentably distinguish over

the art referred to herein, either alone or in combination.

Respectfully submitted,

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Reg. No. 48,731

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